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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/858,235	05/17/2001	Andrew Sinclair	08364.0017

CONFIRMATION NO. 1787

## FORMALITIES LETTER



\*OC000000006302935\*

Finnegan, Henderson, Farabow  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 07/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/15/2001 BABRAHA1 00000022 09858235

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Customer No. 22,852  
Attorney Docket No. 08364.0019

Sec 1  
#32  
LAW OFFICES  
NNEGAN, HENDERSON,  
FARROW, GARETT,  
& DUNN, L.L.P.  
300 I STREET, N.W.  
WASHINGTON, DC 20001  
202-408-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
**Andrew SINCLAIR** )  
) Group Art Unit: 2123  
Serial No.: 09/858,235 )  
) Examiner: Not Yet Assigned  
Filed: May 17, 2001 )  
)  
For: **METHODS AND APPARATUS** )  
**FOR SIMULATING INDUSTRIAL** )  
**PROCESSES** )

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir: **RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice To File Missing Parts dated July 16, 2001,  
enclosed is the Declaration and a copy of the Notice To File Missing Parts.

Also enclosed is a check in the amount of \$65.00 to cover the surcharge  
fee. Authorization is hereby given to charge any additional fees to our deposit  
account no. 06-0916.

Applicant now claims small entity status. The fee indicated above is  
reduced by half (1/2).


Customer No. 22,852  
Attorney Docket No. 08364.0017

Please associate this Declaration with the application as filed on May 17,  
2001 and assigned serial number 09/858,235.

Respectfully submitted,

FINNEGAN, HENDERSON,  
FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 17, 2001

By:   
Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/sci

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000

200457-1



Attorney Docket No. 08364.0017  
Customer Number 22,852

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Andrew SINCLAIR**

Serial No.: 09/858,235

Filed: May 17, 2001

For: **METHODS AND APPARATUS  
FOR SIMULATING INDUSTRIAL  
PROCESSES**

)  
)  
) Group Art Unit: 2123  
)  
) Examiner: Not Yet Assigned  
)  
)  
)  
)

Office of Initial Patent Examinations  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

Enclosed is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office. The following error was found in the Filing Receipt:

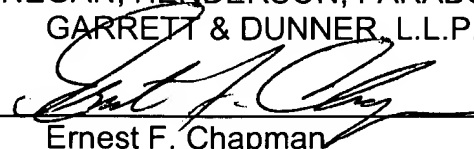
**Buckinghamshire England**, is not an inventor's name. It is the residence of the inventor. It should read **Andrew SINCLAIR, Buckinghamshire, England.**

The error has been highlighted on the enclosed copy and the correct information is shown in ink adjacent the erroneous information. We request a corrected Filing Receipt as soon as possible.

If there are any fees due in connection with the filing of this request, please charge such fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

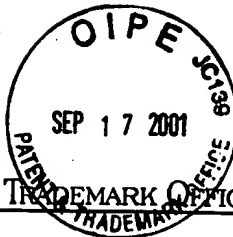
By:   
Ernest F. Chapman  
Reg. No. 25,961

Dated: September 17, 2001

EFC/FPD/sci  
Enclosure

LAW OFFICES

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/858,235	05/17/2001	2123	3748	08364.0017	14	51	7

 Finnegan, Henderson, Farabow  
 Garrett & Dunner, L.L.P.  
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 Washington, DC 20005-3315

RECEIVED

JUL 17 2001

CONFIRMATION NO. 1787

FILING RECEIPT



\*OC000000006302934\*

 FINNEGAN, HENDERSON,  
 FARABOW, GARRETT & DUNNER, L.L.P.

Date Mailed: 07/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

BUCKINGHAMSHIRE, ENGLAND

 Andrew Sinclair, Residence Not Provided;  
~~Buckinghamshire England, Residence Not Provided;~~

Domestic Priority data as claimed by applicant

JUL 17 2001

Foreign Applications

UNITED KINGDOM 0011924.8 05/17/2000

If Required, Foreign Filing License Granted 07/16/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Methods and apparatus for simulating industrial processes

Preliminary Class

703

 RAB  
 7/17/01  
 OS